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January 5, 2006

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Michael D. Mancuso
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Aaron L. Schwartz
Michael G. Penn\*
Matthew E. Kelley\*
Tracy L. Muller
Nicole R. Kramer\*
Nicole R. Kramer\*
Registered Patent Agents
Karen R. Markowicz
Nancy J. Leith
Matthew J. Dowd

Timothy A. Doyle\*
Gaby L. Longsworth

Lori A. Gordon Nicole D. Dretar

Ted I. Ebersole

Registered Patent Agents -Karen R. Markowicz Nancy J. Leith Matthew J. Dowd Katrina Yujian Pei Quach Bryan L. Skelton Robert A. Schwartzman Teresa A. Colella Jeffrey S. Lundgren Victoria S. Rutherford Michelle K. Holoubek Simon J. Elliott Julie A. Heider Mita Mukherjee Scott M. Woodhouse Christopher J. Walsh Liliana Di Nola-Baron Peter A. Socarras

Of Counsel Kenneth C. Bass III Evan R. Smith Marvin C. Guthrie

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WRITER'S DIRECT NUMBER: (202) 772--8805 INTERNET ADDRESS: VRUTHERF @SKGF.COM

Art Unit 1642

Attn: Mail StopAmendment

Re:

PO Box 1450

U.S. Utility Patent Application

Application No. 10/825,688; Filed: April 16, 2004

For: Method of Identifying Compounds That Specifically Inhibit the

**Anaphase Promoting Complex** 

Inventors:

VODERMAIER et al.

Our Ref:

0652.2610001/EKS/VSR

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement Filing Under 37 C.F.R. § 1.97(b);
- 2. Information Disclosure Statement By Applicant (PTO/SB/08A), 1 page citing 3 documents (FP1-FP3);
- 3. Information Disclosure Statement By Applicant (PTO/SB/08B), 4 pages citing 36 documents (NPL1-NPL10, NP11-NPL20, NPL21-NPL30 and NPL31-NPL36);
- 4. Copies of FP1-FP3, NPL1-NPL10, NP11-NPL20, NPL21-NPL30 and NPL31-NPL36; and
- 5. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents January 5, 2006 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Victoria S. Rusherford Victoria S. Rutherford

Agent for Applicants

Registration No. 52,253

VSR:amr **Enclosures** 

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

VODERMAIER et al.

Appl. No.: 10/825,688

Filed: April 16, 2004

For: Method of Identifying Compounds

That Specifically Inhibit the Anaphase Promoting Complex

Confirmation No.: 8679

Art Unit: 1642

Examiner: To Be Assigned

Atty. Docket: 0652.2610001/EKS/VSR

## Information Disclosure Statement Filing Under 37 C.F.R. § 1.97(b)

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Forms PTO/SB/08A and PTO/SB/08B are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. Copies of the documents are submitted herewith.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may

not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return copies of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Victoria S. Rutherford

Victoria S. Rutherford Agent for Applicants

Registration No. 52,253

Date: Jan 4, 2006

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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Equivalent of Form PTO/SB/08A (07-05)
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Substitute for form 1449/PTO

Complete if Known

Application Number 10/825,688

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use as many sheets as necessary)

First Named Inventor Hartmut VODERMAIER

Art Unit 1642

STATEMENT BY APPLICANT
(Use as many sheets as necessary)

First Named Inventor Hartmut VODERMAIER

Art Unit 1642

Examiner Name To Be Assigned

Sheet 1 of 1 Attorney Docket Number 0652.2610001/EKS/VSR

			U.S. PATENT DO	CUMENTS		
Examiner Cite Initials No. 1		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
initials	NO.	Number-Kind Code <sup>2 (If Known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	FP1	WO 96/33286 A1	10/24/1996	President and Fellows of Harvard College		
	FP2	WO 98/21326 A1	05/22/1998	Boehringer Ingelheim International GmbH and Nasmyth <i>et al</i> .		
	FP3	WO 02/00923 A1	01/03/2002	Boehringer Ingelheim International GmbH and Gmachl et al.		

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form	1449/PT	0		Complete if Known		
				Application Number	10/825,688	
INFORMA	ΓΙΟΝ	DIS	CLOSURE	Filing Date	April 16, 2004	
STATEME	NT BY	Z AP	PLICANT	First Named Inventor	Hartmut VODERMAIER	
			is necessary)	Art Unit	1642	
				Examiner Name	To Be Assigned	
Sheet	1	of	4	Attorney Docket Number	0652.2610001/EKS/VSR	

		Non Patent Literatu	RE DOCUMENTS	3				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LE the item (book, magazine, journal, serial, sy number(s), publisher, cit	TTERS), title of the a	article (when appropriate), title of tc.), date, page(s), volume issue	T			
	NPL1	Au, S. W., et al., "Implications for the Ubiquitination Reaction of the Anaphase-promoting Complex from the Crystal Structure of the Doc1/Apc10 Subunit," J. Mol. Biol. 316:955-968, Elsevier Science Ltd. (March 2002)						
	NPL2		Blatch, G. L. and Lassle, M., "The tetratricopeptide repeat: a structural motif mediating protein-protein interactions," <i>Bioessays 21</i> :932-939, John Wiley & sons, Inc. (1999)					
	NPL3	Carroll, C. W. and Morgan, D. O., "The Doc1 subunit is a processivity factor for the anaphase-promoting complex," <i>Nat. Cell. Biol. 4</i> :880-887, Nature Publishing Group (November 2002)						
	NPL4	Gatto, G. J., Jr., et. al., "Peroxisomal targeting signal-1 recognition by the TPR domains of human PEX5," Nat. Struct. Biol. 7:1091-1095, Nature America, Inc. (2000)						
	NPL5	Gershkovich, A.A. and Kholodovych, V.V, "Fluorogenic substrates for proteases based on intramolecular fluorescence energy transfer (IFETS)," <i>J. Biochem. Biophys. Meth.</i> 33:135-162, Elsevier Science B.V. (1996)						
	NPL6	Gieffers, C., et al., "Three-Dimensional Structure of the Anaphase-Promoting Complex," Mol. Cell. 7:907-913, Cell Press (2001)						
	NPL7	Gieffers, C., et al., "Expression of the CDH1-associated form of the anaphase-promoting complex in postmitotic neurons," Proc. Natl. Acad. Sci. USA 96:11317-11322, The National Academy of Sciences (1999)						
	NPL8	Gmachl, M., et al., "The RING-H2 finger protein APC11 and the E2 enzyme UBC4 are sufficient to ubiquitinate substrates of the anaphase-promoting complex," Proc. Natl. Acad. Sci. USA 97:8973-8978, The National Academy of Sciences (2000)						
	NPL9	Grossberger, R., et al., "Characterization of the DOC1/APC10 Subunit of the Yeast and the Human Anaphase-promoting Complex," J. Biol. Chem. 274:14500-14507, The American Society for Biochemistry and Molecular Biology, Inc. (1999)						
	NPL10	Harper, J. W., et al., "The anaphase-promoting complex: it's not just for mitosis any more," Genes Dev. 16:2179-2206, Cold Spring Harbor Laboratory Press (September 2002)						
Examiner Signature	T		Date Considered					

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

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Substitute for form 1449/PTO	Complete if Known		
	Application Number	10/825,688	
INFORMATION DISCLOSURE	Filing Date	April 16, 2004	
STATEMENT BY APPLICANT	First Named Inventor	Hartmut VODERMAIER	
(Use as many sheets as necessary)	Art Unit	1642	
	Examiner Name	To Be Assigned	
Sheet 2 of 4	Attorney Docket Number	0652.2610001/EKS/VSR	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL11	Hatfield P.M., et al., "Cloning of Ubiquitin Activating Enzyme from Wheat and Expression of a Functional Protein in Escherichia coli," J. Biol. Chem. 265:15813-15817, The American Society for Biochemistry and Molecular Biology, Inc. (1990)	
	NPL12	Kieber-Emmons, T., et al., "Therapeutic peptides and peptidomimetics," Curr. Opin. Biotechnol. 8:435-441, Current Biology Ltd. (1997)	
	NPL13	Kominami, K., et al., "Apc10 and Ste9/Srw1, two regulators of the APC-cyclosome, as well as the CDK inhibitor Rum1 are required for G <sub>1</sub> cell-cycle arrest in fission yeast," EMBO J. 17:5388-53 99, Oxford University Press (1998)	
	NPL14	Kramer, E. R., et al., "Activation of the human anaphase-promoting complex by proteins of the CDC20/Fizzy family," Curr. Biol. 8:1207-1210, Current Biology Ltd. (1998)	
	NPL15	Kramer, E. R., et al., "Mitotic Regulation of the APC Activator Proteins CDC20 and CDH1," Mol. Biol. Cell. 11:1555-1569, The American Society for Cell Biology (2000)	
	NPL16	Lamb, J. R., et al, "Cdc16p, Cdc23p and Cdc27p form a complex essential for mitosis," EMBO J. 13:4321-4328, Oxford University Press (1994)	
	NPL17	Leverson, J. D., et al., "The APC11 RING-H2 Finger Mediates E2-Dependent Ubiquitination," Mol. Biol. Cell. 11:2315-2325, The American Society for Cell Biology (2000)	
	NPL8	Matayoshi, E.D., et al., "Novel Fluorogenic Substrates for Assaying Retroviral Proteases by Resonance Energy Transfer," Science 247:954-958, American Association for the Advancement of Science (1990)	
	NPL19	Murray, A.W., "Cell Cycle Extracts," in <i>Methods in Cellular Biology</i> , Kay, B.K. and Peng, H.B., eds., Academic Press, Inc., San Diego, CA, pp. 581-605 (1991)	
	NPL20	Ohta, T., et al., "ROC1, a Homolog of APC11, Represents a Family of Cullin Partners with an Associated Ubiquitin Ligase Activity," Mol. Cell. 3:535-541, Cell Press (1999)	

Examiner	Date	
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				Art Unit	1642	
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Sheet	3	of	4	Attorney Docket Number	0652.2610001/EKS/VSR	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL21	Passmore, L. A., et al., "Doc1 mediates the activity of the anaphase-promoting complex by contributing to substrate recognition," EMBO J. 22:786-796, Oxford University Press (February 2003)	
	NPL22	Peters, JM., "The Anaphase-Promoting Complex: Proteolysis in Mitosis and Beyond," <i>Mol. Cell.</i> 9:931-943, Cell Press (May 2002)	
	NPL23	Pickart, C. M., "Mechanisms Underlying Ubiquitination," <i>Annu. Rev. Biochem.</i> 70:503-533, Annual Reviews (2001)	
	NPL24	Ripka A.S. and Rich D.H., "Peptidomimetric design," Curr. Opin. Chem. Biol. 2:441-452, Current Biology Publications (1998)	
	NPL25	Schwab, M., et al., "Yeast Hct1 recognizes the mitotic cyclin Clb2 and other substrates of the ubiquitin ligase APC," EMBO J. 20:5165-5175, Oxford University Press (2001)	
	NPL26	Tang, Z., et al., "APC2 Cullin Protein and APC11 RING Protein Comprise the Minimal Ubiquitin Ligase Module of the Anaphase-promoting Complex," Mol. Biol. Cell. 12:3839-3851, The American Society for Cell Biology (2001)	
	NPL27	Vodermaier, H. C., "Cell cycle: Waiters serving the Destruction machinery," <i>Curr. Biol. 11</i> :R834-R837, Elsevier Science Ltd. (2001)	
	NPL28	Wendt, K. S., et al., "Crystal structure of the APC10/DOC1 subunit of the human anaphase-promoting complex," Nat. Struct. Biol. 8:784-788, Nature America, Inc. (2001)	
	NPL29	Yu, H., et al., "Identification of a Cullin Homology Region in a Subunit of the Anaphase-Promoting Complex," Science 279:1219-1222, American Association for the Advancement of Science (1998)	
	NPL30	Zachariae, W., et al., "Control of Cyclin Ubiquitination by CDK-Regulated Binding of Hct1 to the Anaphase Promoting Complex," Science 282:1721-1724, American Association for the Advancement of Science (1998)	

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(	(Use as many	sheets a	s necessary)	Art Unit	1642	
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Sheet	4	of	4	Attorney Docket Number	0652.2610001/EKS/VSR	

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	NPL31	Zachariae, W., et al., "Mass Spectrometric Analysis of the Anaphase-Promoting Complex from Yeast: Identification of a Subunit Related to Cullins," Science 279:1216-1219, American Association for the Advancement of Science (1998)	
	NPL32	Zheng, N., et al., "Structure of the Cul1-Rbx1-Skp1-F box <sup>Skp2</sup> SCF ubiquitin ligase complex," <i>Nature 416</i> :703-709, Nature Publishing Group (April 2002)	
	NPL33	NCBI Entrez, GenBank Report, Accession No. NP_001247, Zhou, Y., et al., Entry Date 1999, Last Updated October 2005	
	NPL34	NCBI Entrez, GenBank Report, Accession No. NP_057322, Yu, H., et al., Entry Date 2000, Last Updated September 2005	
	NPL35	NCBI Entrez, GenBank Report, Accession No. U39317, Jensen, J.P., et al., Entry Date 1996, Last Updated 1996	
	·NPL36	NCBI Entrez, GenBank Report, Accession No. M55604, Hatfield, P.M., et al., Entry Date 1993, Last Updated 1994	
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